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**ILLINOIS COMMERCE COMMISSION**  
**SECTION 5-117 SUPPLIER DIVERSITY REPORT FOR**  
**NEWLY IMPACTED ENTITIES**  
**FORM**

**2018 Report**

1. Name of Entity: City of Springfield, Office of Public Utilities d/b/a City Water, Light and Power (CWLP), Electric Division
2. Business Address: 800 E. Monroe St. 4<sup>th</sup> Floor Springfield Illinois 62701
3. Business Address in Illinois (if different): Same
4. Person Responsible for Preparation of Report / Title: Deborah J. Williams, Regulatory Affairs Director
5. Type of Entity (check as many as apply):
  - ☒ Distribution
  - ☒ Generation:
    - ☐ Nuclear   ☐ Hydroelectric   ☐ Solar   ☐ Wind   ☒ Other
  - ☐ Alternative Supplier:
    - ☐ Natural Gas   ☐ Electricity
  - ☐ Other - please describe:
6. Please identify the person at your entity that an Illinois minority-owned business (MBE), business owned by women (WBE), business owned by veterans (VBE), business owned by persons with disabilities (DBE) or small business (SBE) that wants to do business with your entity should contact.

7. In calendar year 2017, did your entity satisfy the applicable revenue criteria below?

☒ Yes   ☐ No

- For an alternative retail, municipal or electrical cooperative electric supplier, sales or delivery of 500,000 or more kwh
- For an alternative gas supplier or natural gas cooperative, sales or delivery of 500,000 or more dekatherms
- For any other commercial energy supplier, sales or delivery of 500,000 or more kwh

8. In calendar 2017, did your entity track spending with, contracting with or procurement from MBEs, WBEs, VBEs, DBEs and/or SBEs?   ☐ Yes   ☒ No

- If you checked “no,” describe the actions you can take to respond to the reporting requirements of Section 5-117 of the Public Utilities Act next year. (Note: 2018 reports will be due April 15, 2019)

***The reporting requirements of Section 5-117 of the Public Utilities Act do not apply to the City for a number of reasons, not the least of which is that the City is a municipal corporation and therefore specifically excluded from the definition of the term “company” under the Act. See 220 ILCS 5/3-112. While Section 5-117 contains the term “any other supplier,” both the purpose clause in subsection (a) and the sub clauses identifying the specific information required to be in the annual report in subsection (c) are specifically limited to “companies.”***

***The City has and will continue to work with the Illinois Commerce Commission staff through its state-wide utility association, Illinois Municipal Utilities Association (“IMUA”), in an attempt to develop methods of tracking and a scope of information that the City can submit in the future on a voluntary basis.***

<b><i>IF YOUR ANSWER TO EITHER QUESTION 7 OR 8 IS “NO”, YOU MAY STOP HERE; OTHERWISE, PROCEED TO QUESTION 9</i></b>
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9. Total calendar year 2017 spending / total value of contracts with / total procurement from minority-owned businesses (MBEs), businesses owned by women (WBEs) businesses owned by veterans (VBEs), businesses owned by persons with disabilities (DBEs) and/or small businesses (SBEs):

(a) \$ \_\_\_\_\_ .00                      Percentage of total: \_\_\_\_%

(b) Illinois totals (if tracked)  
\$ \_\_\_\_\_ .00                      Percentage of total: \_\_\_\_%

10. If your entity tracks spending by type of business, please complete the table below:

	<u>Entity Totals</u>	
Vendor Classification	Spending	Percentage of Total
MBEs		
WBEs		
VBEs		
DBEs		
SBEs		
	<u>Illinois Totals, if tracked</u>	
Vendor Classification	Spending	Percentage of Total
MBEs		
WBEs		
VBEs		
DBEs		
SBEs		

- If you do not have Illinois-specific information, please state why:

11. Please state whether your entity has a plan to increase its contracting with, or procurement from, MBEs, WBEs, VBEs, DBEs and SBEs during the coming year:

☐ Yes    ☐ No

12. If you answered “yes” to Question 11, please describe your entity’s plan.

13. Please state whether your entity has goals for contracting with, or procurement from, MBEs, WBEs, VBEs, DBEs and SBEs: ☐ Yes    ☐ No

14. If you answered “yes” to Question 13, please describe your entity’s plan, if any, to increase those procurement goals.

15. Please attach any rules, regulations, and definitions your entity uses to meet those procurement goals.

16. Please identify any areas of procurement or contracting in which your entity will actively seek more participation by MBEs, WBEs, VBEs, DBEs and SBEs.

17. Please describe any plan your entity has to encourage MBEs, WBEs, VBEs, DBEs and SBEs to submit bids or proposals in those areas.

18. Has your entity faced challenges in finding qualified MBEs, WBEs, VBEs, DBEs or SBEs to submit bids or proposals?

19. Please identify any suggestions your entity has regarding what the Illinois Commerce Commission can do to help you identify qualified MBEs, WBEs, VBEs, DBEs or SBEs.

20. Please identify any certifications of MBE, WBE, VBE, DBE or SBE status that your entity recognizes.
21. Please identify any best practices your entity uses to encourage qualified MBEs, WBEs, VBEs, DBEs or SBEs to submit bids or proposals.